JC14 Rec'd PCT/PTO 10 MAY 2005

PTO/SB/08A (08-03)

Approved for use through 07/31/2005. OMB 0551-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** Filing Date INFORMATION DISCLOSURE First Named Inventor KLEIN et al STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number NL 021122 Sheet 1

				DOCUMENTS			
Examiner Initials*	Cite No.	Document Number Number-Kind Code ^{2 (7 known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relev Figures Appear		
/S.A./	t	US- 6,369,786 B1	04-09-2002	Suzuki			
<i>is.</i> ä./		^{US-} 5,552,677	09-03-1996	Pagones			
'S.A./		us- 5,723,950	03-03-1998	Wei et al.			
		US-					
		US-					
		U\$-					
		US-					
	 	US-					
	†	US-					
	†	US-					
	†	US-					
		US-					
	 	US-					
	<u> </u>	US-					
	 	US-					
	1	US-					
		US-					
	1	US-					
	+	US-					

		FORE	IGN PATENT DOCL	JMENTS		
Initiats* N	Cite No.1	Foreign Patent Document Publication Oate	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	۰,	
		Country Code ³ "Number ⁴ "Kind Code ⁶ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	
/S.A./		EP 1 164 567 A2	12-19-2001	Sony Corp.		<u> </u>
						↓_
				·		<u> </u>
		·			<u> </u>	├
						┞
	1				<u> </u>	

Examiner		Date	11/29/2007
	/Shahada Ahdin/	Canalida and	11/29/2007
Signature	/Shaheda Abdin/	Considered	11/20/2001

*EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.usplo.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to life (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	te for form 1449/PTO			Complete if Known				
Substitut	te for form 1449/PTO			Application Number	10/534484			
INF	ORMATION	DIS	CLOSURE	Filing Date				
STA	TEMENT B	YA	PPLICANT	First Named Inventor	KLEIN et al.			
				Art Unit				
	(Use as many she	ets as n	ecessary)	Examiner Name				
Sheet	1	of	1	Attorney Docket Number	NL 021122			

Examiner Initials*	Cite No.1	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/S.A./		Patent Abstracts of Japan, Pub. No.: 10-153984, Date: 06-09-1998	
		\	
····			

Examiner	/Shaheda Abdin/	Date	11/29/2007
Signature	/Stiaticua Abuiti/	Considered	1172372007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (08-03)

Approved for use through 07/31/2005. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

•	Application Number		10534484	
	Filing Date		2005-05-10	
INFORMATION DISCLOSURE	First Named Inventor Marku		kus H KLEIN, ET AL	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
(Not for Submission under 37 Of K 1.33)	Examiner Name			
	Attorney Docket Numb	er	NL021122	

	-				PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date Name of Patentee of Applicant		I Name of Patentee of Applicant Polovant D		nt Passag	Lines where		
/S.A./	1	5723950		1998-03	-03	WEI, ET AL					
/S.A./	2	6369781	B2	2002-04	-09	HASHIMOTO, ET AL					
/S.A./	3	6369786	B1	2002-04	-09	SUZUKI					
/S.A./	4	5552677		1996-09	-03	PAGONES					
If you wis	h to a	dd additional U.S. Pater	t citatio	n inform	ation pl	ease click the	Add button.		Add		
			U.S.P	ATENT	APPLIC	CATION PUBL	ICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	tion	Name of Pate of cited Docu	entee or Applicant ment	Releva		Lines where ges or Relev	
	1										
If you wis	h to a	l dd additional U.S. Publi	shed Ap	plication	citatio	n information p	lease click the Ad	d button	Add		
				FOREIG	SN PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number ³	ocument Country Kind			Publication Name of Patentee or Applicant of cited Document			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10534484			
Filing Date		2005-05-10			
First Named Inventor Marki		kus H KLEIN, ET AL			
Art Unit					
Examiner Name					
Attorney Docket Number		NL021122			

			•			•			•		
/S.A./	1	EP12	82104		А	2003-02-05	SEII	KO EPSON CORP			
/S.A	/2	WO03	3098974		A1	2003-11-27	KPE	:NV			
/S.A./	3	JP10°	153984			1998-06-09	SON	NY CORP			
/S.A./	4	EP11	64567		A2	2001-12-19	SO	NY CORP			
/S.A.	5	EP11	64567		B1	2001-12-19	sor	NY CORP			
If you wis	h to a	dd add	litional Foreign Pa	atent Document	citation	information pl	ease	click the Add buttor	Add		
				NON-PATE	NT LITE	RATURE DO	CUM	IENTS	Remove		
Examiner Initials*	Cite No	(bool		nal, serial, symp	osium,	catalog, etc), o		article (when appropr pages(s), volume-is			T5
	1									-	
If you wis	h to a	dd add	litional non-paten	t literature docu	ment ci	tation informat	ion p	lease click the Add b	outton Ad	d	
				EX	AMINE	R SIGNATUR	E		•		
Examiner	Signa	ture	/Shaheda	Abdin/			_	Date Considered	11,	/29/2007	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.											
Standard ST 4 Kind of do	¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.										